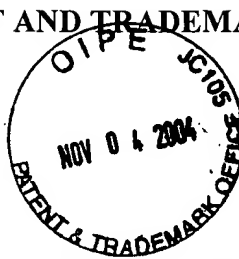


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dany Berube  
APPLICATION NO.: 09/904,156  
FILING DATE: July 31, 2001  
TITLE: Monopole Tip for Ablation Catheter and Methods for Using Same  
EXAMINER: Michael F. Peffley  
GROUP ART UNIT: 3739  
ATTY. DKT. NO.: 23488-09155



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop 313(c), Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: \_\_\_\_\_

11/2/04

By: \_\_\_\_\_

A-C. Smith

Albert C. Smith, Reg. No.: 20,355

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**INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☒ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing

date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
  - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
  - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated

under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
DANY BERUBE

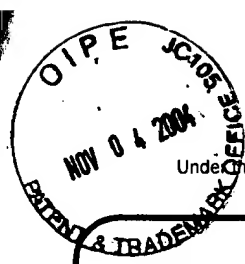
Dated: \_\_\_\_\_

11/2/04

By: \_\_\_\_\_

*A.C. Smith*

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First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

Sheet	1	of	10
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-3,598,108	08-10-1971	JAMSHIDI ET AL.
		US-3,886,944	06-03-1975	JAMSHIDI
		US-3,976,082	08-24-1976	SCHMITT
		US-4,033,357	07-05-1977	HELLAND ET AL.
		US-4,045,056	08-30-1977	KANDAKOV ET AL.
		US-4,073,287	02-14-1978	BRADLEY ET AL.
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		US-4,244,371	01-13-1981	FARIN
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		US-4,409,993	10-18-1983	FURIHATA
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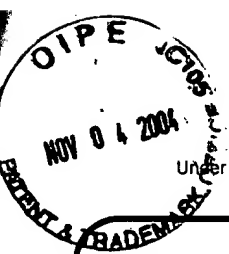
Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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23488/09155/DOCS/1477236.1



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Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

Sheet 2 of 10

**U.S. PATENT DOCUMENTS**

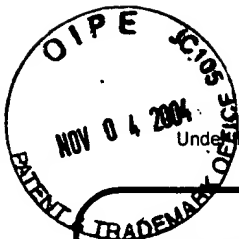
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-4,881,543	11-21-1989	TREMBLY ET AL.
		US-4,891,483	01-02-1990	KIKUCHI ET AL.
		US-4,924,863	05-15-1990	STERZER
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		US-5,364,352	11-15-1994	CIMINO ET AL.
		US-5,364,392	11-15-1994	WARNER ET AL.

Examiner Signature		Date Considered	
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Sheet 3 of 10

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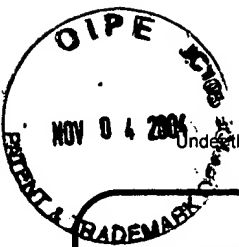
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,369,251	11-29-1994	KING ET AL.
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		US-5,545,193	08-13-1996	FLEISCHMAN ET AL.
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Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

Sheet	4	of	10
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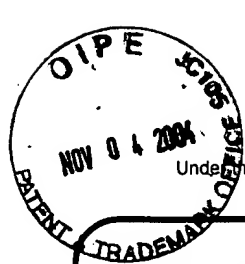
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		US-5,549,644	08-27-1996	LUNDQUIST ET AL.
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		US-5,628,771	05-13-1997	MIZUKAWA ET AL.
		US-5,630,837	05-20-1997	CROWLEY
		US-5,640,955	06-24-1997	OCKULY ET AL.
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		US-5,797,905	08-25-1998	FLEISCHMAN ET AL.

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Sheet 5 of 10

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		US-5,800,378	09-01-1998	EDWARDS ET AL.
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		US-5,871,481	02-16-1999	KANNENBERG ET AL.
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		US-5,938,692	08-17-1999	RUDIE
		US-5,954,662	09-21-1999	SWANSON ET AL.
		US-5,957,842	09-28-1999	LITTMANN ET AL.
		US-5,957,969	09-28-1999	WARNER ET AL.
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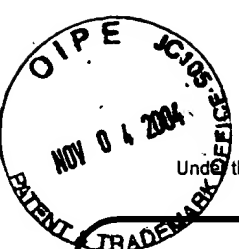
Examiner  
SignatureDate  
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23488/09155/DOCS/1477236.1



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Application No.	09/904,156
Filing Date	July 31, 2001
First Named Inventor	Dany Berube
Art Unit	3739
Examiner Name	Michael F. Peffley
Attorney Docket Number	23488-09155

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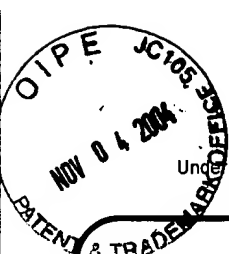
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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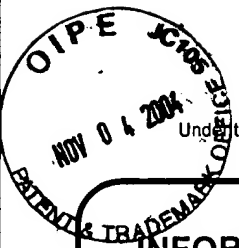
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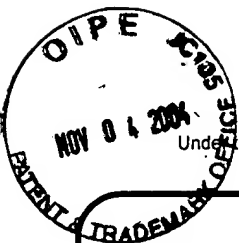
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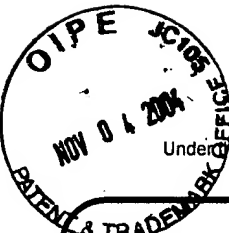
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